

## Exhibit 300: Capital Asset Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview & Summary Information

**Date Investment First Submitted:** 2009-06-30  
**Date of Last Change to Activities:**  
**Investment Auto Submission Date:** 2012-02-29  
**Date of Last Investment Detail Update:** 2012-02-24  
**Date of Last Exhibit 300A Update:** 2012-08-21  
**Date of Last Revision:** 2012-08-21

**Agency:** 024 - Department of Homeland Security      **Bureau:** 60 - United States Coast Guard

**Investment Part Code:** 01

**Investment Category:** 00 - Agency Investments

**1. Name of this Investment:** USCG - Core Accounting System (CAS) Suite

**2. Unique Investment Identifier (Ull):** 024-000006104

#### Section B: Investment Detail

- Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

The Core Accounting System (CAS) suite is the primary accounting system for USCG, Transportation Security Agency (TSA), and Domestic Nuclear Detection Office (DNDO) which hosts a suite of applications at USCG Finance Center in Chesapeake, VA. The CAS suite includes the Oracle Federal Financials (OFF) (a COTS product that includes Accounts Receivable, Assets, Projects, Inventory, Accounts Payable, Purchasing, and General Ledger), Sunflower (property management system used by TSA); Finance and Procurement Desktop (FPD) (enterprise-wide accounting and procurement system assigned to assist in funds and procurement management), Workflow Imaging Network System (WINS) (imaging and document processing system), and Contract Information Management System (CIMS) (contracts management). The CAS suite services over 2,400 units and commands across USCG, TSA and DNDO. CAS planning and development stages started in FY99 as a replacement for Department Accounting and Financial Information System after the creation of the Department of Homeland Security (DHS). CAS went into full operation Coast Guard-wide on June 17, 2003. Implementation expanded to TSA in 2004 and to DNDO in December 2006. The CAS suite is in the operations and maintenance (O&M) state. CAS provides essential support to national and economic security".

2. **How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.**

Without this investment, the USCG, TSA, and DNDO (Primary beneficiaries) would not be able to procure and pay for resources (assets, services, logistic support) to accomplish their respective missions. USCG, TSA, and DNDO would also not be able to comply with the Federal Managers Financial Integrity Act of 1982. There are no dependencies between this investment and other investments.

3. **Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.**

CAS was in the Operations and Maintenance (O&M) state in the prior year. USCG performed a database upgrade from 9i to 10g.

4. **Provide a list of planned accomplishments for current year (CY) and budget year (BY).**

CAS is in the O&M state. Planned accomplishments for current year and budget year include: Improving financial operations, data integrity, financial transparency, compliance with federal laws, regulations, guidelines and requirements.

5. **Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2099-01-01

## Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$1.0	\$0.0	\$0.0	\$0.0
DME (Excluding Planning) Costs:	\$58.1	\$0.0	\$0.0	\$0.0
DME (Including Planning) Govt. FTEs:	\$0.0	\$0.0	\$0.0	\$0.0
Sub-Total DME (Including Govt. FTE):	\$59.1	0	0	0
O & M Costs:	\$156.5	\$24.4	\$24.4	\$24.8
O & M Govt. FTEs:	\$71.8	\$7.7	\$7.7	\$7.9
Sub-Total O & M Costs (Including Govt. FTE):	\$228.3	\$32.1	\$32.1	\$32.7
Total Cost (Including Govt. FTE):	\$287.4	\$32.1	\$32.1	\$32.7
Total Govt. FTE costs:	\$71.8	\$7.7	\$7.7	\$7.9
# of FTE rep by costs:	468	63	63	63
Total change from prior year final President's Budget (\$)		\$0.0	\$0.0	
Total change from prior year final President's Budget (%)		0.00%	0.00%	

**2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:**

There are no fundamental or substantive changes.

## Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	7008	<a href="#">HSCG2310FA CR008</a>	GS35F0585J	4730							
Awarded	7008	<a href="#">HSCG2310JV CV007</a>	HSHQDC06D00069	7001							
Awarded	7008	<a href="#">HSCG2308JF RL034</a>	HSHQDC06D00023	7001							
Awarded	7008	<a href="#">HSCG2309CF RL012</a>	HSHQDC06D00023	7001							
Awarded	7008	<a href="#">HSCG2309FF CV002</a>	GS35F0343J	4730							
Awarded	7008	<a href="#">HSCG2310FV RL393</a>	GS35F0343J	4730							
Awarded	7008	<a href="#">HSCG2310FV CV552</a>	HSCG2308AHF G021	7008							
Awarded	7008	<a href="#">HSCG2310FV RL474</a>	HSCG2308AHF G021	7008							
Awarded	7008	<a href="#">HSCG2310JV CV072</a>	HSHQDC06D00056	7001							
Awarded	7008	<a href="#">HSCG2311JV CV332</a>	HSHQDC06D00069	7001							
Awarded	7008	<a href="#">HSCG2310JV CV002</a>	HSHQDC06D00056	7001							
Awarded	7008	<a href="#">HSCG2311FV CV002</a>	HSCG2308AHF G023	7001							

**2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:**

The CAS Suite is a legacy system in the O&M State. No new development is planned. Earned Value is not a requirement for projects in the O&M Phase.

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities:

Section B: Project Execution Data

Table II.B.1 Projects					
Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
NONE					

Activity Summary								
Roll-up of Information Provided in Lowest Level Child Activities								
Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M )	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
NONE								

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
NONE								

## Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Positive Responses from Tier II Help Desk Trouble Tickets for CAS	Percent	Customer Results - Customer Benefit	Over target	95.000000	95.000000	96.000000	95.000000	Monthly
System availability during 24x7 operation hours	Percent	Technology - Reliability and Availability	Over target	95.000000	97.000000	98.210000	97.000000	Monthly
Percent of financial information accessible to users in reports	Percent	Mission and Business Results - Management of Government Resources	Over target	95.000000	97.000000	98.210000	97.000000	Monthly
% of Category 1 (Urgent) Software Problem Report Response Time < 1 hr	Percent	Process and Activities - Quality	Over target	95.000000	100.000000	100.000000	100.000000	Monthly
% of Category 2 (High) Software Problem Report Response Time < 2 hours	Percent	Process and Activities - Quality	Over target	95.000000	100.000000	100.000000	100.000000	Monthly
% of Category 3 (Normal) Software Problem Report Response Time < 4 hours	Percent	Process and Activities - Quality	Over target	95.000000	100.000000	100.000000	100.000000	Monthly